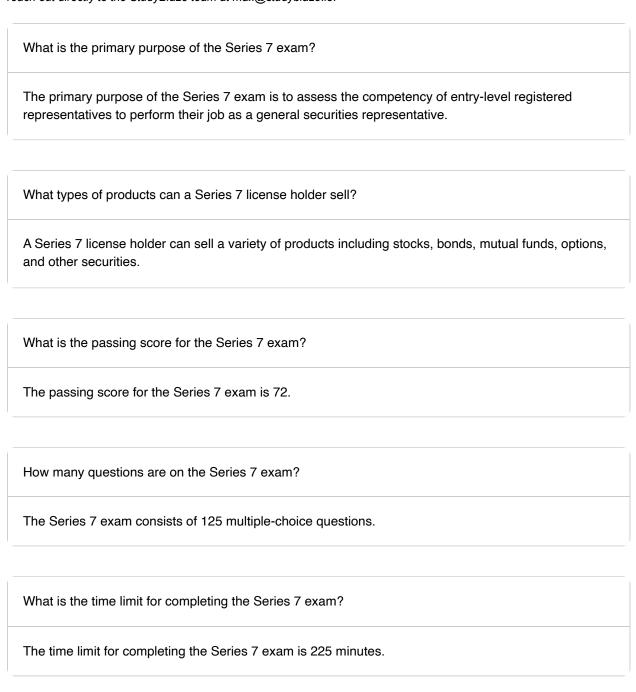


Series 7 Flashcards PDF

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What is the minimum age requirement to take the Series 7 exam?
The minimum age requirement to take the Series 7 exam is 18 years old.
What organization administers the Series 7 exam?
The Financial Industry Regulatory Authority (FINRA) administers the Series 7 exam.
What is the validity period of the Series 7 license?
The Series 7 license does not expire as long as the holder is associated with a FINRA member firm.
What is the difference between a Series 6 and Series 7 license?
The Series 6 license allows the holder to sell mutual funds, variable annuities, and insurance products, while the Series 7 license allows the holder to sell a broader range of securities.
What is a key topic covered in the Series 7 exam?
A key topic covered in the Series 7 exam is understanding different types of securities and their characteristics.