

Series 65 Flashcards PDF

Series 65 Flashcards PDF

Disclaimer: The series 65 flashcards pdf was generated with the help of StudyBlaze AI. Please be aware that AI can make mistakes. Please consult your teacher if you're unsure about your solution or think there might have been a mistake. Or reach out directly to the StudyBlaze team at max@studyblaze.io.

What is the primary purpose of the Series 65 exam?

The primary purpose of the Series 65 exam is to qualify individuals to act as investment advisor representatives.

What topics are covered in the Series 65 exam?

The Series 65 exam covers topics such as laws, regulations, ethics, investment vehicles, and portfolio management.

How many questions are on the Series 65 exam?

The Series 65 exam consists of 130 multiple-choice questions.

What is the passing score for the Series 65 exam?

The passing score for the Series 65 exam is 72%.

How long is the Series 65 exam?

The Series 65 exam is 180 minutes long.

What is the format of the Series 65 exam?

The Series 65 exam is a computer-based test.

Who administers the Series 65 exam?

The Series 65 exam is administered by the Financial Industry Regulatory Authority (FINRA).

What is the eligibility requirement to take the Series 65 exam?

There are no specific prerequisites to take the Series 65 exam, but candidates should have a good understanding of investment concepts.

What is the significance of the Series 65 license?

The Series 65 license allows individuals to provide investment advice and manage client portfolios.

Can you take the Series 65 exam without being sponsored by a firm?

Yes, you can take the Series 65 exam without being sponsored by a firm.